



Receipt \$
#3
PATENT
Attorney Docket No. 2840

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

GEIDL et al.

Group Art Unit: 2861

Serial No. 09/909,416

Examiner: Unassigned

Filed: July 19, 2001

For: Electronic Ink as a Software Object

Assistant Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Applicant hereby responds to the NOTICE TO FILE MISSING PARTS OF APPLICATION dated August 31, 2001. This application is on behalf of a large entity, and pursuant to 37 C.F.R. § 1.16(e), the surcharge for filing this Response is \$130.00.

Submitted with the response and surcharge is a filing fee in the amount of \$740.00, additional claim fees in the amount of \$414.00, an Executed Declaration of inventors, a copy of the Notice to File Missing Parts of Application, a Request for Corrected Filing Receipt and a Credit Card Payment form.

The total fee due is:

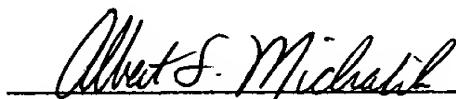
Basic Filing Fee	\$740.00
Additional Claim Fees	\$414.00
Surcharge	<u>\$130.00</u>

Total Fee Due: \$1284.00

In re Application of GEIDL et al.
Serial No. 09/909,416

- The Patent Office is hereby authorized to charge the credit card number on the attached Credit Card Payment form the sum of \$1284.00.
- The Patent Office is hereby authorized to charge any underpayments or credit any overpayments associated with this submission to Deposit Account No. 50-1618.

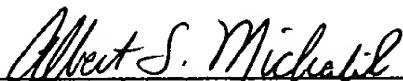
Respectfully submitted,


Albert S. Michalik, Reg. No. 37,395
Michalik & Wylie, PLLC
14645 Bel-Red Road
Suite # 103
Bellevue, WA 98007
(425) 653-3533 (telephone)
(425) 653-3603 (facsimile)

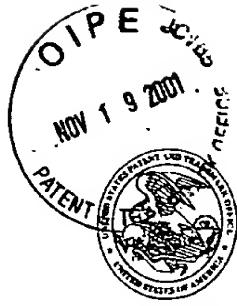
CERTIFICATE OF MAILING

I hereby certify that this response, credit card payment form, executed declaration of inventors, copy of the Notice to File Missing Parts, Request for Corrected Filing Receipt and return postcard are being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: Oct. 18, 2001


Albert S. Michalik

2840 Missing Parts Response



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/909,416	07/19/2001	Erik M. Geidl	2840

CONFIRMATION NO. 7292

FORMALITIES LETTER



OC000000006503840

MICHALIK & WYLIE, PLLC
14645 Bel-Red Road, Suite 103
Bellevue, WA 98007

Date Mailed: 08/31/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$414.
 - \$414 for 23 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1254.**

*A copy of this notice **MUST** be returned with the reply.*

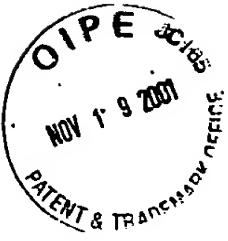
H. T

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/20/2001 AMENDAF1 00000003 09909416
01 FC:101
02 FC:105
03 FC:103
888
740.00
130.00
414.00



PATENT
Attorney Docket No. 2840

#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

GEIDL et al.

Group Art Unit: 2861

Serial No. 09/909,416

Examiner: Unassigned

Filed: July 19, 2001

For: Electronic InK as a Software Object

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

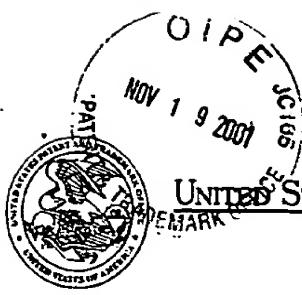
Attached is a copy of the official filing receipt received from the Patent Office in the above-identified application. Issuance of a corrected filing receipt is respectfully requested.

Applicants submit that there is an error with respect to the third inventor's last name as it is listed on the filing receipt. The name "Karlove" should read "Karlov". A copy of the filing receipt with this correction in red ink is enclosed herewith. The request for correction is not due to any error on the applicants part and no fees are due.

Respectfully submitted,

By Albert S. Michalik
Albert S. Michalik, Reg. No. 37,395
One of the Attorneys for Applicant(s)
14645 Bel-Red Road, Suite 103
Bellevue, WA 98007
(425) 653-3520

2840 Req for Corrected filing receipt



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/909,416	07/19/2001	2861	0.00	2840	9	43	3

CONFIRMATION NO. 7292

FILING RECEIPT



OC000000008503839

MICHALIK & WYLIE, PLLC
 14645 Bel-Red Road, Suite 103
 Bellevue, WA 98007

Date Mailed: 08/31/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Erik M. Geidl, Bellevue, WA;
 Robert A. Dain, Redmond, WA;
 Donald D. Karlov, Woodinville, WA;

Karlov

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 08/31/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Electronic ink as a software object

Preliminary Class

347

Data entry by : TEGBARU, HAIMANOT

Team : OIPE

Date: 08/31/2001





**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231